

# Numerical modelling of open elastic waveguides for their non-destructive evaluation

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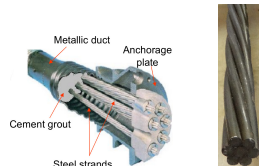
JO des poètes, ENSTA Paris, 17-19 avril 2024



# Generality

## Guided wave applications:

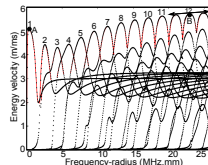
- dynamic analysis of elongated structures: **Non Destructive Evaluation** (ultrasonic), noise and vibration reduction...
- our flagship application:** NDE of bridge cables
- potentialities:
  - propagation over long distances
  - sensibility to small damages



Cable anchorage and 7-wire strand

## Complexity of guided waves:

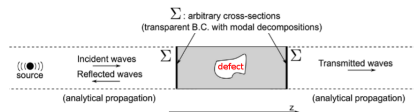
- dispersive and multimodal propagation
- dispersion curves required
- modeling tools mandatory



Energy velocity vs. frequency in a cylindrical bar

## Three modeling issues:

- propagation of waves
- generation by a source
- scattering by a local inhomogeneity



# Tool #1: propagation

## SAFE method: (Semi-Analytical Finite Element)

- 1 variational formulation for 3D elastodynamics:

$$\int_{\Omega} \delta \boldsymbol{\epsilon}^T \mathbf{C} \boldsymbol{\epsilon} dV - \omega^2 \int_{\Omega} \rho \delta \mathbf{u}^T \mathbf{u} dV = \int_{\Omega} \rho \delta \mathbf{u}^T \mathbf{f} dV$$

$$\text{où } \boldsymbol{\epsilon} = (\mathbf{L}_{xy} + \mathbf{L}_z \partial / \partial z) \mathbf{u}$$

- 2 Fourier transform along  $z$ :  $\hat{\mathbf{u}}(k) = \int_{-\infty}^{+\infty} \mathbf{u}(z) e^{-ikz} dz$

→ continuous symmetry incorporated

- 3 FE discretization of cross-section  $(x, y)$ :

$$\{\mathbf{K}_1 - \omega^2 \mathbf{M} + ik(\mathbf{K}_2 - \mathbf{K}_2^T) + k^2 \mathbf{K}_3\} \hat{\mathbf{U}}(k; \omega) = \hat{\mathbf{F}}(k; \omega)$$

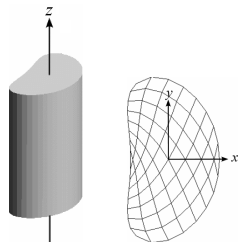
→ 2D problem, iteration over frequency  $\omega$

- 4 free response ( $\hat{\mathbf{F}} = \mathbf{0}$ )

→ quadratic eigenvalue problem

→ solution = wave modes  $\{k_m, \mathbf{U}_m\}$  → linearized form:

$$\left( \begin{bmatrix} \mathbf{0} & \mathbf{I} \\ -(\mathbf{K}_1 - \omega^2 \mathbf{M}) & -j(\mathbf{K}_2 - \mathbf{K}_2^T) \end{bmatrix} - k \begin{bmatrix} \mathbf{I} & \mathbf{0} \\ \mathbf{0} & \mathbf{K}_3 \end{bmatrix} \right) \begin{bmatrix} \hat{\mathbf{U}} \\ k \hat{\mathbf{U}} \end{bmatrix} = \begin{bmatrix} \mathbf{0} \\ \mathbf{0} \end{bmatrix}$$



From a 3D waveguide to its 2D SAFE mesh

**Remark:** matrices can be complex (viscoelasticity, PML...)

## Tool #2: generation

$\mathbf{K}_1$ ,  $\mathbf{K}_3$  et  $\mathbf{M}$  are symmetric,  $(\mathbf{K}_2 - \mathbf{K}_2^T)$  is skew-symmetric

## Biorthogonality

- if  $k_m$  is an eigenvalue, then  $-k_m$  also  
 $\Rightarrow$  pairs of eigenmodes traveling in opposite direction  $\{k_m, \mathbf{U}_m\}$  and  $\{-k_m, \mathbf{U}_{-m}\}$
- the biorthogonality relationship can be written as:  

$$i\frac{\omega}{4} (\mathbf{U}_m^T \mathbf{F}_{-n} - \mathbf{U}_{-n}^T \mathbf{F}_m) = Q_{m,-m} \delta_{mn}$$

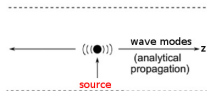
with  $\mathbf{F}_m = (\mathbf{K}_2^T + ik_m \mathbf{K}_3) \mathbf{U}_m$  (eigenforce vector)

## Forced response:

- ① modal expansion:  $\hat{\mathbf{U}}(k; \omega) = \sum_{m=-M}^{+M} \hat{\beta}_m(k; \omega) \mathbf{U}_m(\omega)$
- ② biorthogonality + residue theorem + time inverse FT:

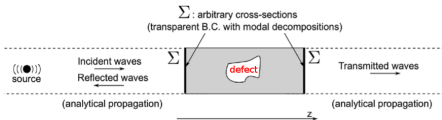
$$\mathbf{U}(z; t) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \sum_{m=1}^M \mathbf{E}_m(\omega) \hat{\mathbf{F}}(k_m; \omega) e^{ik_m(\omega)z} e^{-i\omega t} d\omega$$

$$\text{with } \mathbf{E}_m = \frac{i\omega}{4Q_{m,-m}} \mathbf{U}_m \mathbf{U}_{-m}^T \text{ (excitability of } m\text{th mode)}$$



# Tool #3: scattering

## Hybrid FE-SAFE method: a small FE box with transparent boundary conditions



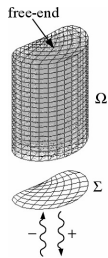
$$\mathbf{u}|_{\Sigma} = \sum_{n=-\infty}^{+\infty} \alpha_n \mathbf{u}_n, \quad \mathbf{t}|_{\Sigma} = \sum_{n=-\infty}^{+\infty} \alpha_n \mathbf{t}_n$$

- ① FE model of the small box including the inhomogeneity:

$$\delta \mathbf{U}^T (\mathbf{K} - \omega^2 \mathbf{M}) \mathbf{U} = \delta \mathbf{U}^T \mathbf{F}$$

- ② Partitioning of dofs into cross-section  $\Sigma$  and internal region  $I$  :

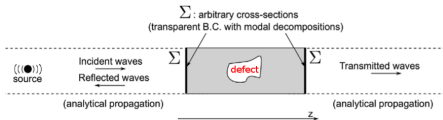
$$\mathbf{U} = \begin{Bmatrix} \mathbf{U}_{\Sigma} \\ \mathbf{U}_I \end{Bmatrix}, \quad \mathbf{F} = \begin{Bmatrix} \mathbf{F}_{\Sigma} \\ \mathbf{F}_I \end{Bmatrix} \quad \text{avec } I = \Omega \setminus \Sigma$$



FE-SAFE mesh

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$$\mathbf{u}|_{\Sigma} = \sum_{n=-\infty}^{+\infty} \alpha_n \mathbf{u}_n, \quad \mathbf{t}|_{\Sigma} = \sum_{n=-\infty}^{+\infty} \alpha_n \mathbf{t}_n$$

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$$\mathbf{U} = \begin{Bmatrix} \mathbf{U}_{\Sigma} \\ \mathbf{U}_I \end{Bmatrix}, \quad \mathbf{F} = \begin{Bmatrix} \mathbf{F}_{\Sigma} \\ \mathbf{F}_I \end{Bmatrix} \quad \text{avec } I = \Omega \setminus \Sigma$$

- 3 Modal expansion of both  $\mathbf{U}_{\Sigma}$  and  $\mathbf{F}_{\Sigma}$ :

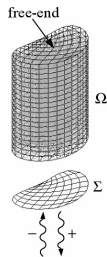
$$\mathbf{U}_{\Sigma} = \sum_{n=1}^N \alpha_n^- \mathbf{U}_n^- + \sum_{n=1}^N \alpha_n^+ \mathbf{U}_n^+, \quad \mathbf{F}_{\Sigma} = \sum_{n=1}^N \alpha_n^- \mathbf{F}_n^- + \sum_{n=1}^N \alpha_n^+ \mathbf{F}_n^+$$

$\alpha_n^{\pm}$ : outgoing/ingoing modal coeff. (unknown/prescribed)

$N$ : number of modes kept (after truncation)

- 4 Linear system of the following form:

$$\mathbf{A}(\omega) \mathbf{x}(\omega) = \mathbf{B}(\omega) \mathbf{y}(\omega), \quad \text{with } \mathbf{x} = \begin{Bmatrix} \alpha^+ \\ \mathbf{U}_I \end{Bmatrix} \quad \text{and} \quad \mathbf{y} = \begin{Bmatrix} \alpha^- \\ \mathbf{F}_I \end{Bmatrix}$$



FE-SAFE mesh

# Remarks

## Remarks on biorthogonality:

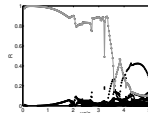
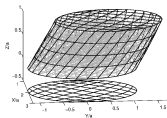
- 'general', in particular applicable to:
  - non-propagative modes
  - **lossy waveguides** (including **PML**)
  - **full anisotropy** (including **curvature**)
- nothing but the discrete version of Auld's real relationship<sup>1</sup>
- degenerates to more specific but well-known relations:
  - Auld's complex relation (applicable to real modes only)
  - Fraser's, JASA, 1976 (orthotropic materials only), foundation of **X-Y** formalism
  - Herrera's, BSSA, 1964 (surface waves in 1D stratified media)

<sup>1</sup> Auld, Acoustic Fields and Waves in Solids, 1990

## Remarks on hybrid FE-SAFE approach:

- no specific hyp. (**anisotropy, loss ok**)
- consequence of biorthogonality:  $\mathbf{A}(\omega)$  is symmetric
- **consistency**:
  - cross-section SAFE mesh: extracted from the FE box
  - explicit expression of traction: the eigenforce  $\mathbf{F}_m$
- **error due to mode truncation**:
  - keep the least attenuated mode? a 'natural' criterion:  

$$e^{-|\text{Im}(k_n^\pm)d|} < \delta \quad (d: \text{distance damage-extremity})$$
  - but not always relevant for open waveguides...

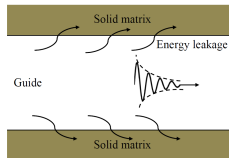


Reflection coeff. by a helix free edge  $\phi=15^\circ$

# Buried waveguides (collaboration/POEMS: PhD theses K.L. Nguyen 2011-14 + M. Gallezot 2015-18)

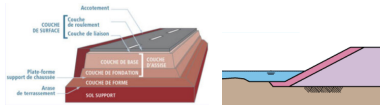
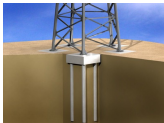
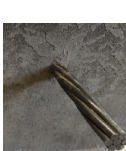
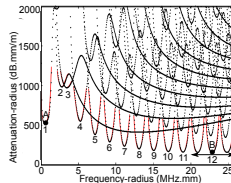
## Waveguides coupled to an infinite surrounding medium:

- unbounded in the transverse direction
- terminology: **open waveguides** (as opposed to *closed waveguides*, in vacuum)
- **NDE of buried waveguides: minimize leakage**



## A more complex physics, with:

- trapped modes, perfectly guided... a discrete set often empty (depending on materials)
- radiation modes... which form a continuous set
- **leaky modes**, axially decreasing due to radiation loss... but growing to  $\infty$  in the transverse direction



Exemples of open waguides in civil engineering (fully or partially buried)



## Free response: extension of tool #1

**Method selection : SAFE+PML** (perfectly matched layers)

- ① **PML** = analytical continuation of transverse coordinates  $(x, y)$ :

$$\tilde{x} = \int_0^x \gamma_x(s) ds \text{ avec } \begin{cases} \gamma_x = 1 & \text{if } |x| \leq d_x \\ \text{Im } \gamma_x > 0 & \text{si } |x| > d_x \end{cases} \quad (\text{same for } \tilde{y})$$

- ② change from complex to real coordinates:

$$\tilde{x} \mapsto x : \frac{\partial}{\partial \tilde{x}} = \frac{1}{\gamma_x} \frac{\partial}{\partial x}, \quad d\tilde{x} = \gamma_x dx \quad (\text{same for } \tilde{y})$$

- ③ PML truncation to a finite thickness (closed problem)

- ④ **SAFE+PML** method leads to:

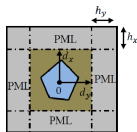
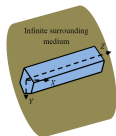
$$\{\mathbf{K}_1 - \omega^2 \mathbf{M} + ik(\mathbf{K}_2 - \mathbf{K}_2^T) + k^2 \mathbf{K}_3\} \hat{\mathbf{U}}(k; \omega) = \hat{\mathbf{F}}(k; \omega)$$

- **complex** matrices due to  $\gamma_x, \gamma_y$
- problem is 'definitively' not self-adjoint

**A rather easy implementation**

**but 3 user-defined parameters:**

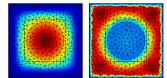
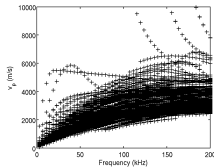
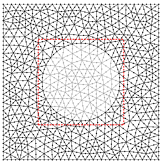
absorbing function  $\gamma_x(x)$ , interface distance  $d_x$ , thickness  $h_x$  (same for  $y$ )



# Free response: example

**Example:** a steel cylindrical bar buried into a soft medium (concrete)

**Dispersion curves:**



Leaky mode vs.  
PML mode

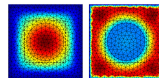
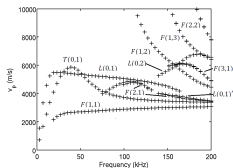
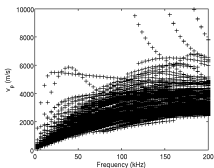
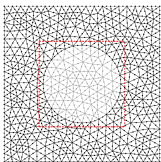
SAFE-PML mesh, dispersion curves before filtering and after

- many 'PML modes', non intrinsic to the physics...
- an energy-based modal filtering:  $E_{PML}/E_{TOT} > \text{threshold}$

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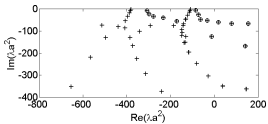
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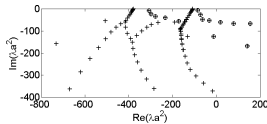
# Free response: a closer look at spectrum

## 1D: influence of PML thickness and FE size:

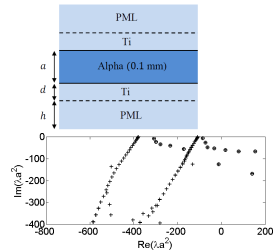
- average value of  $\gamma(x)$  inside the PML:  $\hat{\gamma}$
- **complex thickness:**  $\tilde{L} = d + h\hat{\gamma}$



thickness:  $h$ , FE size:  $\ell_e$



$h \times 2$ ,  $\ell_e$



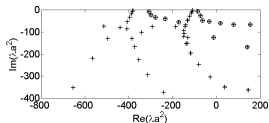
$h \times 2$ ,  $\ell_e/2$

Spectrum  $\lambda = -k^2$ , ○: analytical leaky modes

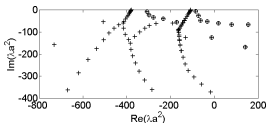
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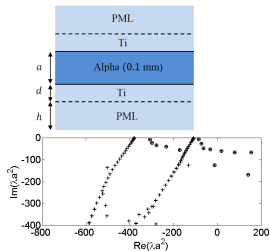
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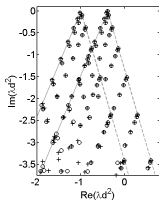
$h \times 2$ ,  $\ell_e$



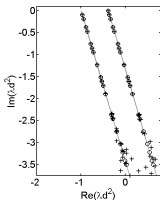
$h \times 2$ ,  $\ell_e/2$

Spectrum  $\lambda = -k^2$ , ○: analytical leaky modes

## 2D: the homogeneous test case with mixed bc $\rightarrow$ analytical solution available



$\arg(\tilde{L}_x) \neq \arg(\tilde{L}_y)$



$\arg(\tilde{L}_x) = \arg(\tilde{L}_y)$



- PML modes lay inside 2 sectors, 'usually' degenerating to 2 half-lines as in 1D
- rotation angles of half-lines  $\simeq -2 \arg(\tilde{L}_x)$ ,  $-2 \arg(\tilde{L}_y)$

# History break

## PhD Khac Long Nguyen (2011-2015)

### Papers:

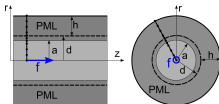
- Nguyen, K. L. and Treyssède, F. and Hazard, C., Numerical modeling of three-dimensional open elastic waveguides combining semi-analytical finite element and perfectly matched layer methods, *Journal of Sound and Vibration* 344 (2015), 158-178
- Treyssède, F. and Nguyen, K. L. and Bonnet-BenDhia, A. S. and Hazard, C., Finite element computation of trapped and leaky elastic waves in open stratified waveguides, *Wave Motion* 51 (2014), 1093-1107

### Conferences:

- Nguyen, K. L. and Treyssède, F. and Bonnet-BenDhia, A.-S. and Hazard, C., Finite element computation of leaky modes in straight and helical elastic waveguides, 8th GDR US Conference, Gregynog (Wales), 2014
- Nguyen, K. L. and Treyssède, F. and Bonnet-BenDhia, A.-S. and Hazard, C., Modélisation numérique des guides d'onde ouverts : cas des structures élastiques courbes, 12ème CFA, Poitiers, 2014
- Nguyen, K. L. and Treyssède, F. and Bonnet-BenDhia, A.-S. and Hazard, C., Computation of leaky modes in three-dimensional open elastic waveguides, *Waves*, Tunis, 2013
- Nguyen, K. L. and Treyssède, F. and Bonnet-BenDhia, A.-S. and Hazard, C., Computation of dispersion curves in elastic waveguides of arbitrary cross-section embedded in infinite solid media, 13th International Symposium on Nondestructive Characterization of Materials, Le Mans, 2013
- Treyssède, F. and Nguyen, K. L. and Bonnet-BenDhia, A.-S. and Hazard, C., On the use of a SAFE-PML technique for modeling two-dimensional open elastic waveguides, *Acoustics 2012*, Nantes
- Treyssède, F. and Nguyen, K. L. and Bonnet-BenDhia, A.-S. and Hazard, C., Finite element computation of elastic propagation modes in open stratified waveguides, 7th GDR US Conference, Oléron, 2012

## Forced response (tool #2): a numerical experiment

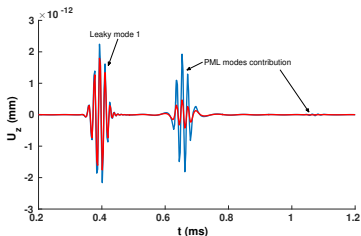
**Example:** steel cylindrical bar buried into a soft medium excited by a point force



Axisymmetric SAFE-PML model, PML parameters:  $\hat{\gamma} = 4 + 4i$ ,  $h = 4a$ ,  $d = a$

**Free response:** 1 leaky mode, 0 trapped, 50 PML modes (low-frequency regime)

**Forced response:**

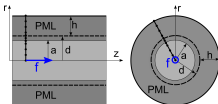


Radial displacement vs. time at  $z = 175a$ , elastic (blue) and viscoelastic (red) material properties

**What is the physical meaning, if any, of the contribution of PML modes?**

# Forced response (tool #2): a numerical experiment

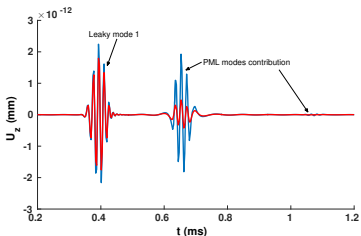
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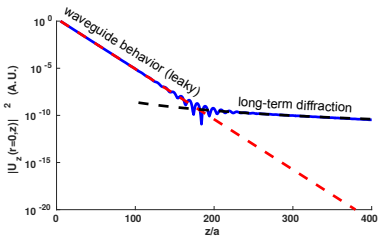
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**Forced response:**



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**What is the physical meaning, if any, of the contribution of PML modes?**



energy vs. distance  
(blue: with PML modes, red: without)

**contribution of PML modes = wavefield part with geometric decay  $e^{ikr}/r^\alpha$**

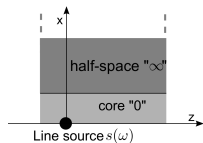


# Physics of open waveguides: 2D scalar toy model

Solution in the half-space:

$$u_{\infty}(x, z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{a(k)}{b(k)} e^{i\alpha_{\infty} x} e^{ikz} dk$$

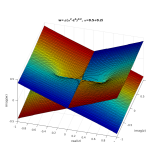
with  $\alpha_{\infty} = \pm\sqrt{\omega^2/c_{\infty}^2 - k^2}$ : multi-valued



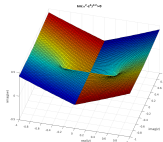
Residue theorem for  $z > 0$ :

$$u(x, z) = \underbrace{\sum \text{trapped modes}^a}_{\text{poles of proper sheet}} + \underbrace{\int_{\Gamma^+} \text{radiation modes}}_{\text{branch cut contribution}}$$

<sup>a</sup> Trapped modes do not exist if  $c_0 > c_{\infty}$ , i.e. for our usual configuration...



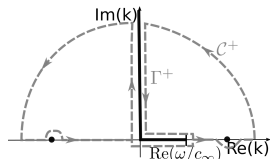
Riemann surface for  $\alpha_{\infty}$



Proper sheet  $\text{Im}(\alpha_{\infty}) > 0$

Riemann sheet to get  $\int_{C^+} \rightarrow 0$ :  $\text{Im}(\alpha_{\infty}) > 0$

$\text{Im}(\alpha_{\infty}) = 0$ : discontinuity (branch cut)



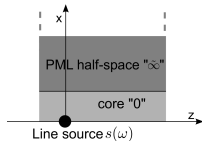
Integration path,  $\Gamma^+$ : branch cut  
●: poles of proper sheet (trapped)

# Physics of open waveguides: 2D scalar toy model

With an infinite PML and cst  $\gamma(x) = \gamma$  :

$$u_\infty(x, z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \frac{a(k)}{b(k)} e^{i\gamma\alpha_\infty x} e^{ikz} dk$$

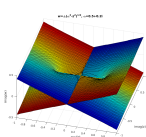
with  $\alpha_\infty = \pm\sqrt{\omega^2/c_\infty^2 - k^2}$ : multi-valued



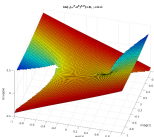
Residue theorem for  $z > 0$ :

$$u(x, z) = \sum \text{modes piégés}^a + \underbrace{\sum \text{revealed leaky modes}^b}_{\text{poles such that } \text{Im}(\alpha_\infty) < 0!} + \underbrace{\int_{\bar{\Gamma}^+} \text{radiation modes}}_{\text{new branch cut contribution}}$$

<sup>b</sup> Leaky modes are a good approximation of the initial continuum near the core

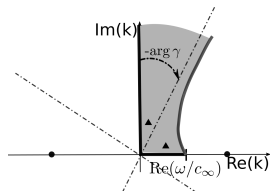


Riemann surface for  $\alpha_\infty$



New sheet  $\text{Im}(\gamma\alpha_\infty) > 0$

Riemann sheet to get  $\int_{C^+} \rightarrow 0$ :  $\text{Im}(\gamma\alpha_\infty) > 0$   
 $\text{Im}(\gamma\alpha_\infty) = 0$ : discontinuity (branch cut)



Branch cut rotation by the PML  
 ▲: poles of the improper sheet (leaky)

# Back to elasticity and numerical modeling

## Elasticity is more complex than with scalar wavefields:

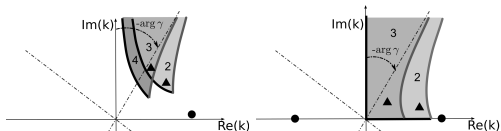
- compressional + shear waves  $\Rightarrow$  2 transverse wavenumbers, 2 branch cuts  
 $\Rightarrow$  2 continua of radiation modes, 4 Riemann sheets
- presence of 'backward' leaky modes: in the proper sheet...

## Numerical modeling requires PML truncation to a finite thickness:

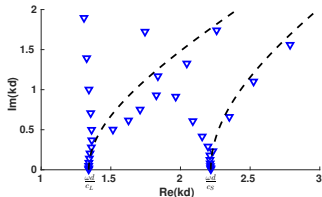
- discretization of continua = discrete set of 'PML modes'
- $u(x, z) = \sum$  trapped +  $\sum$  revealed leaky +  $\sum$  'PML modes'(?)

## Do PML modes have any physical contribution?

- they are not intrinsic to the physics (depend on user-defined parameters)
- they quickly diverge as their order increases ('spurious modes')



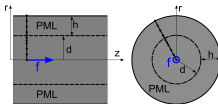
Viscoelastic (left) spectrum vs. elastic (right)  
 $\rightarrow$  only 2 sheets revealed in the elastic case



'Divergence' of discrete set of PML modes  
 (dashed: PML theoretical branch cut)

## Forced response (tool #2): the homogeneous test case

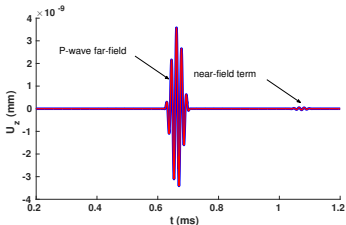
**Example:** fully homogeneous medium excited by point force pulse (analytical solution available) → no discrete mode, only bulk waves!



Axisymmetric SAFE-PML model of a homogeneous elastic medium  
complex thickness  $d + \hat{\gamma}h = d + (4 + 4i) \times 4d$

**Free response:** no trapped, no leaky, 50 PML modes

**Forced response:**



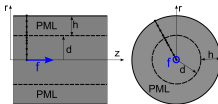
$u_z(r=0)$  as a function of time at  $z = 175d$   
SAFE-PML (red) and analytical solutions (blue)

The exact geometrical decay,  $e^{ikr}/r^\alpha$ , can be reassembled from the sum of PML modes<sup>a</sup>, exponentially decaying ( $e^{ik_m z}$ )

<sup>a</sup> a proof in scalar waveguides: Ollyslager, SIAM J. Appl. Math., 2004

## Forced response (tool #2): the homogeneous test case

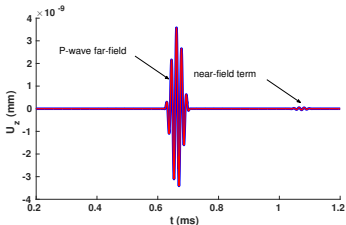
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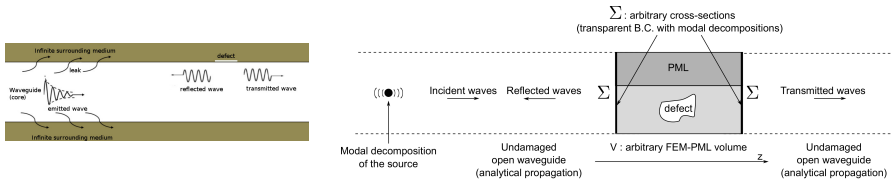
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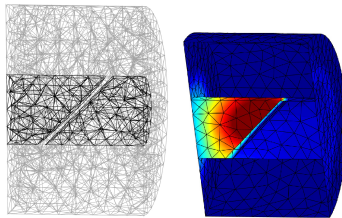
<sup>a</sup> a proof in scalar waveguides: Ollyslager, SIAM J. Appl. Math., 2004

**Now, let us go back to our initial experiment...**

# Scattering (tool #3)



## Scattering in buried waveguides: hybrid FE-SAFE method with PML

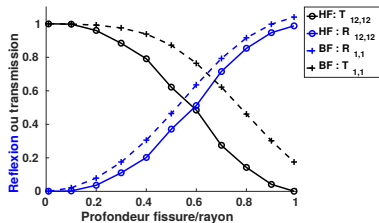
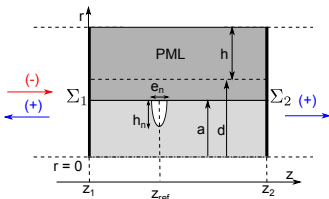


Scattered field by a 3D crack inside an open waveguide (PML-closed)

# Scattering (tool #3): numerical experiment

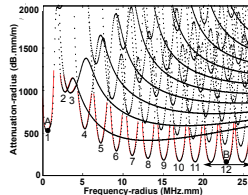
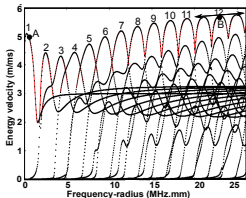
**Example:** scattering by elliptical crack in a viscoelastic steel cylindrical bar buried into cement grout (softer medium → no trapped modes)

**Incident modes:** low-frequency L(0,1) vs. high-frequency L(0,12)



Scattering coefficients

(at  $z = z_{ref}$  and normalized by core power)



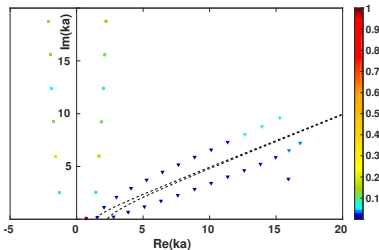
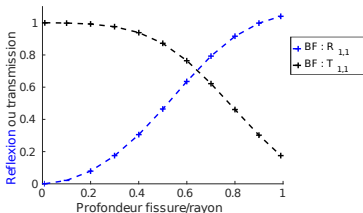
# FE box size vs. near field effects and PML modes

## Any contribution of PML modes?

Low-frequency L(0,1) incident:

- $|z_i - z_{ref}| = 1a$ , 13 leaky<sup>a</sup>

<sup>a</sup> including forward and 'backward' modes



Spectrum: forward (circle), backward leaky (square), PML modes (triangle). Color: transmission coeff. ( $h_n/a = 0.8$ )



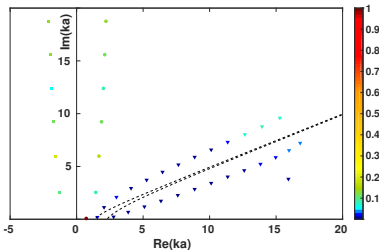
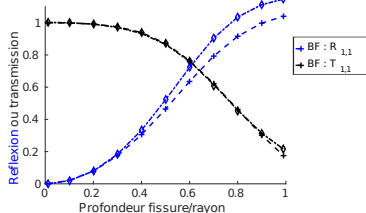
# FE box size vs. near field effects and PML modes

## Any contribution of PML modes?

Low-frequency L(0,1) incident:

- $|z_i - z_{ref}| = 1a$ , 13 leaky<sup>a</sup>
- $|z_i - z_{ref}| = 0.25a$ , 13 leaky<sup>a</sup>

<sup>a</sup> including forward and 'backward' modes



Spectrum: forward (circle), backward leaky (square), PML modes (triangle). Color: transmission coeff. ( $h_n/a = 0.8$ )

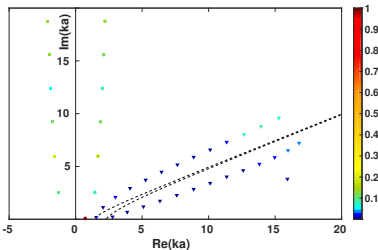
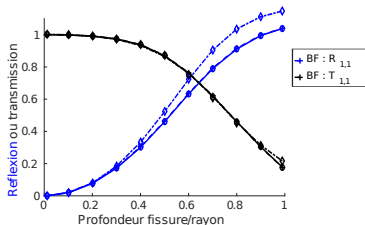
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- $|z_i - z_{ref}| = 1a$ , 13 leaky<sup>a</sup>
- $|z_i - z_{ref}| = 0.25a$ , 13 leaky<sup>a</sup>
- $|z_i - z_{ref}| = 0.25a$ , 13 leaky<sup>a</sup>  
+27 PML modes

<sup>a</sup> including forward and 'backward' modes



Spectrum: forward (circle), backward leaky (square), PML modes (triangle). Color: transmission coeff. ( $h_n/a = 0.8$ )

**PML mode contribution can be significant in the near field:**  
a trade-off between FE box size and number of PML modes

# Orthogonality... or not

**Biorthogonality:**  $Q_{m,-n} = \frac{j\omega}{4} (\mathbf{F}_{-n}^T \mathbf{U}_m - \mathbf{U}_{-n}^T \mathbf{F}_m) = Q_{m,-m} \delta_{mn}$

**Power non-orthogonality:** the net power through cross-section  $\Sigma$  can be written as

$$\Pi_T = \sum_{m=-N}^N |\alpha_m|^2 \operatorname{Re}(P_{m,m}) + \sum_{m=-N}^N \sum_{n \neq m} \alpha_n^* \alpha_m P_{m,n} \quad \text{where } P_{m,n} = \frac{j\omega}{4} (\mathbf{F}_n^* \mathbf{U}_m - \mathbf{U}_n^* \mathbf{F}_m)$$

with  $\operatorname{Re}(P_{m,m})$ : power of  $n$ th mode,  $P_{m,n}$ : modal cross-power

In lossy problems,  $P_{m,n} \neq Q_{m,-n} \rightarrow$  **'power non-orthogonality'**

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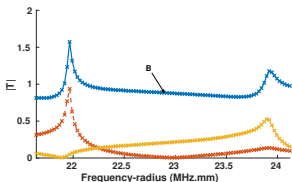
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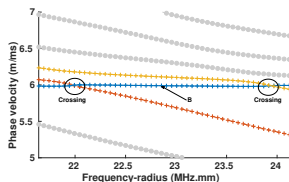
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In lossy problems,  $P_{m,n} \neq Q_{m,-n} \rightarrow$  'power non-orthogonality'

**Consequence:** individual power coefficients can be  $> 1$ ,  $R$  and  $T$  can both increase...



blue:  $|T_{12,12}|$ , red:  $|T_{13,12}|$ , yellow:  $|T_{14,12}|$  ( $h_n/a = 0, 3$ ).



$\rightarrow$  high cross-power when  $k_m \simeq k_n$

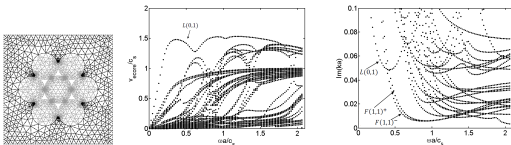
# Conclusion

## Using PML for the numerical modeling of open waveguides:

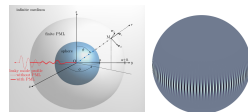
- leaky modes: revealed in a natural way (approximating the radiation continua)
- biorthogonality holds for any type of modes: including leaky! (~~transverse growth~~)
- PML modes as a sum: physically meaningful (geometrically decaying field)...
- ... can be non-negligible in the 'deep' far field or in the 'close' near field
- **open issues**: completeness of expansion (convergence)?  
unicity of excitability ( $Q_{m,-m}$  is a 'PMLized norm')?...

## Main drawbacks in practice:

- PML parameters are user-defined
- **computation time** increases due to many PML modes for only a few leaky: what computational strategies?  
→ an option to accelerate iterations over frequency? *Treysède, "A model reduction method for fast finite element analysis of continuously symmetric waveguides", JSV 508 (2021)*

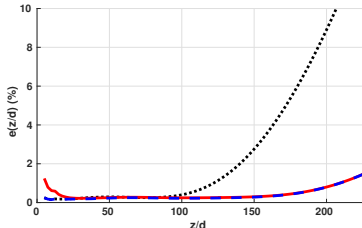


Modeling a buried seven wire strand: SAFE-PML mesh (left), energy velocity dispersion curves (middle) and attenuation (right)



Modeling a buried elastic sphere (gallery waves)

$$\text{Error vs. distance: } e(z) = \sqrt{\frac{\int |u_z^{\text{ref}}(z, \omega) - u_z^{\text{num}}(z, \omega)|^2 d\omega}{\int |u_z^{\text{ref}}(z, \omega)|^2 d\omega}}$$



Relative error as a function of the propagation distance.  $\hat{\gamma} = 4 + 4j$ .  $h = 4d, M = 50$ ;  $h = 4d, M = 30$ ;  $h = 3d, M = 50$ .

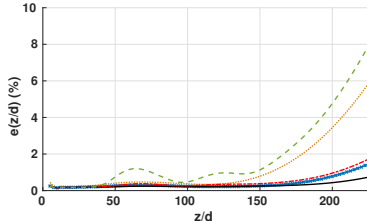


Figure: Influence of the argument for a complex thickness  $25e^{j\theta}$ :  $\theta = 20^\circ$  (orange),  $\theta = 30^\circ$  (blue),  $\theta = 45^\circ$  (black),  $\theta = 60^\circ$  (red),  $\theta = 70^\circ$  (green).

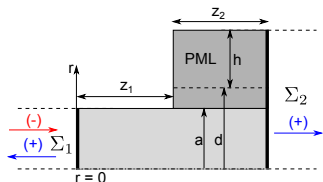
# Scattering validation test case

Reflection of L(0,1) mode by the junction of a steel bar with an infinite surrounding medium (epoxy)

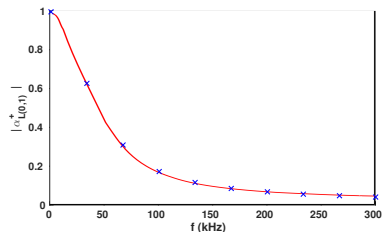
Reference solution: Vogt *et al.*, JASA 2003 (FE element modelling + mode-matching)

Number of modes:

- M = 1 on  $\Sigma_1$ : L(0,1) guided mode
- M = 10 on  $\Sigma_2$ : L(0,1) leaky mode + 9 PML modes



Validation test case ( $z_1 = z_2 = 0.25a$ ). PML parameters:  $d = 1.05a$ ,  $h = 2a$ ,  $\hat{\gamma} = 4 + 4i$  (mean attenuation).



Red: reference results, blue: hybrid FE-SAFE.